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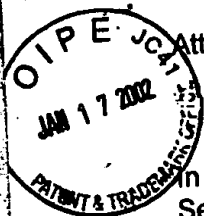
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Attorney Docket No. 5470-255

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: R. Prouty
Group Art Unit: 1652

In re: Xiong et al.

Serial No.: 09/541,462

Filed: March 31, 2000

For: ISOLATED DNA ENCODING CULLIN REGULATORS ROC1 AND ROC2
ISOLATED PROTEINS ENCODED BY THE SAME, AND METHODS
UTILIZING THE SAME

Date: October 26, 2001

BOX SEQUENCE
Commissioner for Patents
Washington, DC 20231

RESUBMITTAL OF SEQUENCE LISTING TO COMPLY WITH THE
REQUIREMENTS OF 37 C.F.R. § 1.821

Sir:

I hereby state that the content of the paper and computer readable copies of the Sequence listing, resubmitted concurrently herewith in accordance with 37 CFR § 1.821(c) and (e), are the same. I also hereby state as required by 37 CFR § 1.821(h) that the paper and computer readable copies contain no new matter, nor do they go beyond the disclosure of the application as filed.

Applicant respectfully notes that this is the third submission of this information.

Applicant does not believe that any additional fee is now due. However, any additional fee or credit may be charged to our Deposit Account No. 50-0220.

Respectfully submitted,

Karen A. Magni

Registration No. 41,965

Customer Number:



20792

PATENT TRADEMARK OFFICE

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Page 2 of 2



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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Sequence, Commissioner for Patents, Washington, DC 20231, on October 26, 2001.



Traci A. Brown

Date of Signature: October 26, 2001

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09/541,462
Application No.: 09/

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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